

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 011235.5**72**8905° **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/DE2004/001654 July 23, 2004 **August 8, 2003** TITLE OF INVENTION APPARATUS AND METHOD FOR JOINING A ROTOR BLADE TO A ROTOR MOUNT OF A GAS TURBINE ROTOR Karl-Hermann RICHTER APPLICANT(S) FOR DO/EO/US: Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. Is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **12**. A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: a. 5 sheets of drawings showing figures 1-7. German language priority application c. 1st page of WO 20. 2005/014221 A1 d. PCT/IB/301 e. PCT/ISA/210 f. PCT/ISA/220 g. PCT/ISA/237 h. PCT/IPEA/409 i. PCT/IPEA/416

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

p. Express Mail No.: EV 511597852 US

PCT/IPEA/402 k. PCT/RO/101; I. PCT/IB/304 m. Response to written notice from ISA of 12/14/04 (w/English translation)

IAP20 Res'd PCT/PTO 07 FEB 2006

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U.S. APPLICATION	PELICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/DE2004/001654				011235.57289US		
he following the have been submitted			PC1/DE20	04/0	001654		
					\$300.00	CALCULATIONS	PTO USE ONLY
21. Basic national fee						\$300.00	
If International preliminary examination report prepared by USPTO and all claims							
	sions of PCT Arti						
All other situations \$200.00						\$200.00	
23. Search fee							
Search fee (37 Cl							
the USPTO as an International Searching Authority							
All other situations\$500						\$400.00	
- TOTAL OF 21, 22 AND 23 =						\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction			RATE		
- 100					x 250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest						\$130.00	
claimed priority da		NUMBER FILED NUMBER EXTRA .			RATE	\$130.00	
Total claims		20 - 20	0	·	x 50		
Independent cla		4 - 3	1		x 200	\$200.00	
MULTIPLE DEPENDENT CLAIM					+ 360	\$00.00	
TOTAL OF ABOVE CALCULATIONS =							
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
SUBTOTAL = \$1,230.00							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the						<u> </u>	
earliest claimed priority date (37 CFR 1.492(i))+						\$	
TOTAL NATIONAL FEE =						\$1,230.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+						\$	
TOTAL FEES ENCLOSED =						\$1,230.00	
						Amount to be	
						refunded:	\$
						Amount to be charged:	\$
a. A check	k in the amount o						
 a. A check in the amount of \$1,230.00 to cover the above fees is enclosed. b. Please charge Deposit Account No. (Attorney Docket No.) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. 							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 011235.57289US). A duplicate copy of this sheet is enclosed.							
d. Eees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: Crowell & Moring LLP Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300 Tel. No. (202) 624-2500 Fax No. (202) 628-8844 SEND ALL CORRESPONDENCE TO: SIGNATURE Robert L. Grabarek, Jr. NAME 40,625 REGISTRATION NUMBER February 7, 2006 DATE							
RI G/mns							•

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IAP20 RES'OFCTATO 07 FEB 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: Not Yet Assigned

Confirmation No. Not Yet Assigned

Applicant

Karl-Hermann RICHTER

Filed

: 02/07/2006

TC/A.U.

: Not Yet Assigned

Examiner

: Not Yet Assigned

Docket No.

: 011235.57289US

Customer No.

23911

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

SUBMISSION OF SUBSTITUTE SPECIFICATION

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

CROWELL & MORING LLP

Dated: February 7, 2006

By Robert L. Grabarek, Jr

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments

Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300